



"Plan today, protect tomorrow"

## **Disclosure Statement**

### **Registered Financial Adviser**

**Malcolm Aston**

**Financial Service Providers Register:** FSP 361566

**Address:** 38 Harvest Ave, Orewa, 0931

**Trading name:** Momentum Financial Ltd

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### **It is important that you read this document**

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### **What sort of adviser am I?**

I am a registered, but not authorised, financial adviser. I can give you advice about category 2 financial products. I specialise in the following:

- Life Insurance
- Mortgage Protection
- Income Protection
- Total Permanent Disability Cover
- Trauma/Critical Illness Cover
- Health Insurance
- Redundancy Cover
- Family Protection Cover
- Business Insurance
- Key Person Cover

### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me so that I can try to fix the problem. You may contact the internal complaints scheme by writing or telephoning me at:

Address: Momentum Financial Ltd, 38 Harvest Ave, Orewa, 0931  
Telephone: (09) 214 6171 Email: malcolm@momentumfinancial.co.nz

We have 40 days to respond to your complaint. If we cannot agree on how to fix the issue, or if you decide not to use the internal complaints scheme, you can contact the Insurance & Financial Services Ombudsman Scheme (IFSO) of which I am a member and is approved by the Ministry of Consumer Affairs. This service will cost you nothing, and will help us resolve any disagreements. You can contact IFSO at:

Address: Insurance & Financial Services Ombudsman, PO Box 10-845, Wellington 6143, New Zealand  
Freephone: 0800 888 202 Ph: 0064 4 499 7612 Email: info@ifso.nz

### **How am I regulated by the Government?**

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>

The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings. You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

### **Declaration**

I, Malcolm Aston, declare that to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed:

Date: